

INFORMATION DISCLOSURE STATEMENT

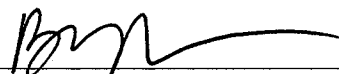
Applicant : Kagan et al.
App. No : 10/698,148
Filed : October 31, 2003
For : APPARATUS AND METHODS FOR
TREATMENT OF MORBID OBESITY
Examiner : Phillip A. Gray
Art Unit : 3767

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Bryan Wahl, Reg. No. 58,878

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 73 references to be considered by the Examiner. Also enclosed are 13 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/27/07By: 

Bryan Wahl
Registration No. 58,878
Attorney of Record
Customer No. 20,995
(415) 954-4114

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application No.	10/698148
	Filing Date	October 31, 2003
	First Named Inventor	Jonathan Kagan
	Art Unit	3767
(Multiple sheets used when necessary)	Examiner	Phillip A. Gray
SHEET 1 OF 3	Attorney Docket No.	VALTX.001A

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,431,666	08-1995	Sauer et al.	
	2	5,582,616	12-1996	Bolduc et al.	
	3	5,645,568	07-1997	Chervitz et al.	
	4	5,824,008	10-1998	Bolduc et al.	
	5	5,997,556	12-1999	Tanner	
	6	6,193,733 B1	02-2001	Adams	
	7	6,285,897 B1	09-2001	Kilcoyne et al.	
	8	6,312,437 B1	11-2001	Kortenbach	
	9	6,338,345 B1	01-2002	Johnson et al.	
	10	6,520,974 B2	02-2003	Tanner et al.	
	11	6,626,916 B1	09-2003	Yeung et al.	
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	15	2002/0040226 A1	04-2002	Laufer et al.	
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	21	2003/0130561 A1	07-2003	Suzuki et al.	
	22	2003/0139752 A1	08-2003	Pasricha et al.	
	23	2003/0181929 A1	09-2003	Geitz	
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	26	2004/0107004 A1	01-2004	Levine et al.	
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	28	2004/0059349 A1	03-2004	Sixto Jr. et al.	
	29	2004/0059354 A1	03-2004	Smith	

Examiner Signature	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

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	30	2004/0097987 A1	05-2004	Pugsley et al.	
	31	2004/0116949 A1	06-2004	Ewers, et al.	
	32	2004/0133219 A1	07-2004	Forsell	
	33	2004/0133089 A1	08-2004	Kilcoyne et al	
	34	2004/0138529 A1	08-2004	Wiltshire et al.	
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	36	2004/0162567 A9	08-2004	Adams	
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	44	2005/0033240 A1	02-2005	Oishi et al.	
	45	2005/0049718	03-2005	Dann et al.	
	46	2005/0065401 A1	03-2005	Saadat et al.	
	47	2005/0096750	05-2005	Kagan et al.	
	48	2005/0101977	05-2005	Gannoe et al.	
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	52	11/431,040	05-2006	Kagan et al.	
	53	2006/0206063	09-2006	Kagan et al.	
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	55	11/548,605	10-2006	Dann	
	56	2006/0293742	12-2006	Dann et al.	
	57	2007/0010794	01-2007	Dann et al.	
	58	2007/0010864	01-2007	Dann et al.	

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	59	2007/0010865	01-2007	Dann et al.	
	60	2007/0010866	01-2007	Dann et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	61	WO 1999/60931 A1	12-1999	WIPO		
	62	WO 2001/35834 A1	05-2001	WIPO		
	63	WO 2001/85034 A1	11-2001	WIPO		
	64	WO 2002/102227 A2	12-2002	WIPO		
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	66	WO2004/041119 A2	05-2004	WIPO		
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	68	WO 2004/087014 A2	10-2004	WIPO		
	69	WO 2004/087233 A2	10-2004	WIPO		
	70	WO 2004/103430 A2	12-2004	WIPO		
	71	WO 2005/011463 A2	02-2005	WIPO		
	72	WO 2005/011519 A2	02-2005	WIPO		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	73	Three-dimensional manometric imaging of the lower esophageal sphincter, Hubert J. Stein, Md. Surgery, 1995 Vol. 117 No. 6 pp 692-698	

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Examiner Signature	Date Considered
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